

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Kenneth R. Morris et al.

Application No./Patent No.: 7,041,169

Filed/Issue Date: May 9, 2006

Titled:

METHODS OF GENERATING AND SCREENING FOR HIGH FREE ENERGY FORMS IN CAPILLARIES

Aptuit (Kansas City), LLC

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Kenneth R. Morris, G. Patrick Stahly

To: SSCI, Inc.

The document was recorded in the United States Patent and Trademark Office at

Reel 018350, Frame 0016, or for which a copy thereof is attached.

2. From: SSCI, Inc.

To: Aptuit (Kansas City), LLC

The document was recorded in the United States Patent and Trademark Office at

Reel 022012, Frame 0049, or for which a copy thereof is attached.

3. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08.)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Jan-Olav Hansen

Printed or Typed Name

Date

6/8/11

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)Assignments on the Web > Patent Query**Patent Assignment Abstract of Title**

**NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.**

Total Assignments: 4**Patent #:** 7041169**Issue Dt:** 05/09/2006**Application #:** 10688123**Filing Dt:** 10/17/2003**Publication #:** 20040139909**Pub Dt:** 07/22/2004**Inventors:** Kenneth R. Morris, G. Patrick Stahly**Title:** METHODS OF GENERATING AND SCREENING FOR HIGH FREE ENERGY FORMS IN CAPILLARIES**Assignment: 1****Reel/Frame:** 018350/0016**Recorded:** 10/03/2006**Pages:** 5**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** MORRIS, KENNETH R.**Exec Dt:** 12/12/2001STAHLY, G. PATRICK**Exec Dt:** 12/19/2001**Assignees:** PRUDUE RESEARCH FOUNDATION

1291 CUMBERLAND AVENUE

WEST LAFAYETTE, INDIANA 47906

S.S.C.I., INC.

3065 KENT AVENUE

WEST LAFAYETTE, INDIANA 47906

Correspondent: MICHAEL B. HARLIN

MCANDREWS, HELD & MALLOY, LTD.

500 WEST MADISON STREET, SUITE 3400

CHICAGO, ILLINOIS 60661

Assignment: 2**Reel/Frame:** 019744/0073**Recorded:** 08/24/2007**Pages:** 12**Conveyance:** SECURITY AGREEMENT**Assignor:** SSCI, INC.**Exec Dt:** 11/07/2006**Assignee:** JPMORGAN CHASE BANK, N.A. AS COLLATERAL AGENT

1111 FANNIN STREET

10TH FLOOR

HOUSTON, TEXAS 77002

Correspondent: CORPORATION SERVICE COMPANY

1133 AVENUE OF THE AMERICAS

SUITE 3100

NEW YORK, NY 10036

Assignment: 3**Reel/Frame:** 023107/0244**Recorded:** 08/18/2009**Pages:** 13**Conveyance:** AMENDED & RESTATED PATENT SECURITY AGREEMENT**Assignor:** S.S.C.I., INC.**Exec Dt:** 11/30/2007**Assignee:** GENERAL ELECTRIC CAPITAL CORPORATION, AS AGENT

2 BETHESDA METRO CENTER

BETHESDA, MARYLAND 20814

Correspondent: LAURA L. KONRATH

WINSTON & STRAWN LLP

35 W. WACKER DRIVE

CHICAGO, ILLINOIS 60601

Assignment: 4**Reel/Frame:** 022012/0049**Recorded:** 12/20/2008**Pages:** 3**Conveyance:** MERGER (SEE DOCUMENT FOR DETAILS).**Assignor:** SSCL INC.**Exec Dt:** 09/30/2008**Assignee:** APTUIT (KANSAS CITY), LLCTWO GREENWICH OFFICE PARK
GREENWICH, CONNECTICUT 06831**Correspondent:** MICHELLE O'BRIEN8200 GREENSBORO DR., STE. 1020A
O'BRIEN JONES, PLLC
MCLEAN, VA 22102

Search Results as of: 06/14/2011 10:01 AM
If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1
Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)